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PTO/SB/21 (08-00)
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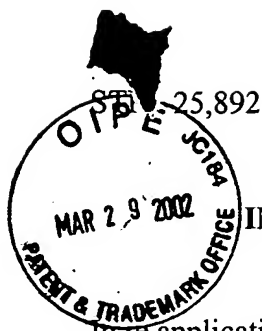
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|--|------------------------|-------------------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 10/025,601 |
| | Filing Date | December 18, 2001 |
| | First Named Inventor | Anthony M. Chiu |
| | Group Art Unit | 2872 |
| | Examiner Name | To Be Assigned |
| Total Number of Pages in This Submission | Attorney Docket Number | STPP-25,892 |

| ENCLOSURES (check all that apply) | | |
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| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|---------------------------------|
| Firm or Individual name | HOWISON, THOMA & ARNOTT, L.L.P. |
| Signature | <i>Peter J. Thoma</i> |
| Date | 3/21/02 |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Anthony M. Chiu and Danielle A. Thomas

Serial No.: 10/025,601

Filed: December 18, 2001

Group: 2872

Examiner: To Be Assigned

For: INTEGRATED OPTICAL UNIT FOR USE WITH MINIATURE OPTICAL DISCS

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and Trademarks
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INFORMATION DISCLOSURE STATEMENT

In accordance with the requirements of 37 C.F.R. §§ 1.56, 1.97, and 1.98, attached please find a Form PTO SB/08 listing information for consideration by the Office in connection with its examination of the above-captioned patent application.

Applicants request that this information disclosure statement be considered and that a copy of the Form PTO SB/08 be returned to the undersigned indicating the consideration of each document listed.

REMARKS

Applicants submit that no representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of these materials in any way reflects their relevant pertinence. The listing on the attached Form PTO SB/08 is not intended to constitute an admission of any kind. Applicants respectfully submit that their invention is patentable over the enclosed documents listed on Form PTO SB/08.

INFORMATION DISCLOSURE STATEMENT

Serial No. 10/025,601

Page 1 of 2

Malissin et al. (4,570,249), Mohri et al. (5,241,528), and Kim et al. (5,323,378) disclose optical devices for use with optical disks. Hohenegger et al. (6,275,332), and Krisko et al. (6,292,302) disclose mirror structures and methods for their manufacture.

To Applicant's knowledge, this information disclosure statement is being filed before the mailing date of a first Office Action on the merits. Therefore, pursuant to 37 C.F.R. §1.97(b)(3), no fee is believed necessary for its consideration. Please charge any necessary fees or deficiencies in fees necessary for the filing of this paper or credit any overpayment to Deposit Account No. 20-0780/STPP-25,892 of HOWISON, THOMA & ARNOTT, L.L.P.

Respectfully submitted,

HOWISON, THOMA & ARNOTT, L.L.P.
Attorneys for Applicants

A handwritten signature in cursive script, appearing to read "Peter J. Thoma".

Peter J. Thoma
Registration No. 28,121

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March 21, 2002

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| Filing Date | December 18, 2001 |
| First Named Inventor | Anthony M. Chiu |
| Group Art Unit | 2872 |
| Examiner Name | To Be Assigned |
| Attorney Docket Number | STPP-25.892 |

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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